Code of Business Conduct

2022
CATALOGUE

01 Preface 01

02 Basic Principles 04
1. Comply with Laws, Regulations and Business Ethics. 05
2. Honesty and Integrity. 07
3. Reliability, Value-added, Fulfillment. 07
4. Behavioral Safeguards. 08

03 LONGi Responsibilities 09
(I) Market and Competition 10
1. Anti-monopoly and Fair Competition. 10
2. Anti-bribery and Anti-fraud. 11
3. Trade Compliance and Import and Export Supervision. 12
4. Protect Confidential Information and Personal Information of Stakeholders. 13
5. Respect the Intellectual Property Rights of Others. 14
(II) Employees 15
1. Respect the Individual Rights of LONGi Employees and Employees of External Partners. 15
2. Protection of Workforce Health & Safety and Environmental Protection. 16
(III) Company 17
1. LONGi’s Brand Positioning: Trusted, Reliable and Tech Driven. 17
2. Protection of Company Property. 18
3. Prevent Insider Trading. 19
4. Avoid Conflict of Interest. 20
5. Accounting and Taxation Compliance. 21
6. Prohibition of Money Laundering and Terrorist Financing. 22
7. Protection of Company’s Confidential Information and Intellectual Property. 23
8. Personal Information Protection and Privacy Respectation. 24
(IV) Business Partners 25
(V) Environmental Responsibility 26
(VI) Social Responsibility 27

04 Contact Us 28
With the mission “To make the best of solar energy to build a green world” and become the most compelling solar technology company by leading the world’s transition to green energy, LONGi upholds its core values of “Reliability, Value-added, Fulfillment” and promotes the sustainable development of the global green energy through the use of science and technology. LONGi's practice of its core values relies on an honest and compliant work and business environment.

To ensure sustainable development of the company, LONGi not only complies with laws and regulations, social responsibility and business ethics, honesty and trustworthiness, and other principles throughout its activities of creating business value. In addition, LONGi is willing to offer value-added opportunities to its stakeholders including customers, partners, employees, and shareholders, by creating a fair and win-win business environment and an inclusive and caring cultural, to achieve a green and harmonious ecological environment. When attaching great importance to the value of people, LONGi will continue to uphold the sustainable value creation ideology to make more positive contributions to the environment and society.

As LONGi people, we represent our Company image in every word we say and every deed we do no matter where we are. For LONGi, fulfilling the ultimate commercial value is surely important, but the processes and methods we adopt to fulfill LONGi core values are equally important. In practicing LONGi core values, we are more accountable to fulfill LONGi's social responsibilities and missions. In the meantime, whenever necessary, we should follow the code of conduct that reflects LONGi core values, to safeguard the interests and honor of LONGi, its employees and its stakeholders. The Code of Business Conduct (hereinafter referred to as “Code of Business Conduct” or in short “Code”) lists the basic principles and responsibilities that LONGi is determined to undertake in terms of compliance, which are applicable to all directors, senior executives and employees of LONGi Green Energy Technology Co., Ltd. and its subsidiaries (hereinafter collectively referred to as “LONGi”, “Company” or “We”).

If you have any doubts about how to handle an issue covered by this Code, please seek advice and support from our colleagues in the Compliance Department at any time. We shall perform our own duties and share our roles and responsibilities, and prioritize legal and regulatory compliance at the forefront of our work, which is essential for us to achieve sustainable success. We sincerely hope that you can read through the Code of Business Conduct, internalize its content in your hearts and externalize it in your actions, and become the best LONGi people practicing LONGi core values.

Stakeholders: refers to, inter alia, LONGi's customers, employees, shareholders and investors, business partners, government and regulatory authorities, and community and non-government organizations.

Chairman of LONGi Green Energy Technology Co., Ltd.
Zhong Baoshen

Complying with ethical practices and international standards is a sure path to winning trust.
"Customer First" is not just a simple slogan. We believe that, by adopting high standards and strict compliance requirements, LONGi can build a trustworthy relationship with all our customers to meet their expectations.
Comply with Laws, Regulations and Business Ethics

LONGi has grown into a multinational enterprise that runs business in many countries across the globe. To this end, each of our employees must understand and comply with local laws and regulations applicable to our business activities; comply with applicable international conventions and practices; and the corporate regulations and systems formulated for this purpose; conform to business ethics and take the responsibility to make sure that our behavior is consistent with social norms and requirements.

**TIPS**

Which country’s laws and regulations do we abide by?

The laws and regulations of different countries usually vary from one another. That’s why we should first learn and comply with the laws and regulations of where we are located and where we operate, and the relevant rules of the local governments.

What does “international practice” refer to?

International practice refers to the unwritten principles and rules gradually formed in international exchanges. For instance, the International Rules for the Interpretation of Trade Terms (Incoterms) formulated by the International Chamber of Commerce is a trading practice that has been clearly defined and settled in long-term repeated practice.

Facing the increasingly complex domestic and international market and the growing competitive environment, compliance management would become the ballast stone for the long-term stability and success of LONGi in its future journey.

—— General Manager of LONGi Legal Affairs Center, LONGi Green Energy Technology Co., Ltd  Li Junwei

What are the consequences of violating the laws and regulations?

Possible adverse impact on LONGi

- Hefty fines and damages
- Damage to LONGi’s reputation
- Stock price volatility and brand depreciation
- Loss of new business opportunities

Possible impact on individuals

- Disciplinary actions
- Fines and damages
- Criminal liability

What should we do?

- Verify compliance with local laws and regulations before making any decisions. Does it violate universal values or moral principles?
- Does it align with Company’s core values?
- Evaluate whether the proposed actions or decisions will have any adverse effects on LONGi? Will it adversely affect the LONGi brand image?
Honesty and Integrity

We are forthright and sincere, respectful to each other, and take our duties seriously. We honor our commitments and do our best to live up to the trust of our customers, shareholders, and other stakeholders.

Trust is the foundation of human relationship. LONGi has always adhered to the principle of ethical management, and has internalized such principle into LONGi’s inherent codes of conduct. Such principle shall be thoroughly implemented by all LONGi people.

—— Vice President of LONGi Green Energy Technology Co., Ltd. Liu Xuewen

Reliability, Value-added, Fulfillment

We pursue LONGi’s core values of “Reliability, Value-added, Fulfillment” in providing customers with reliable products and services, and continuously create value for stakeholders including customers, shareholders, and employees, whom we treat with a humble, respectful, friendly, and cooperative attitude.

Reliability

Provide customers with reliable products and services; be a company worthy of the trust of customers, employees and other stakeholders; and be a trustworthy business partner.

Value-added

Create cost-efficient products and high value-added services for customers; enable continuous growth for employees; and keep offering value-added services to other stakeholders.

Fulfillment

Care about how customers feel and treat them with a humble, respectful, friendly, and cooperative attitude; respect employees and create an open and inclusive environment through sharing and communications; and respect stakeholders, be frank and friendly.

Behavioral Safeguards

We have established effective procedures to ensure that any employee can report violations of the Code of Business Conduct without being subject to retaliation or other disciplinary actions (see “04. Report”).

What should we do when we discover that others have violated the Code of Business Conduct, or we are unsure whether we have violated the Code of Business Conduct ourselves?

If you find that others have violated the Code of Business Conduct, please do not remain silent. The Company provides a variety of channels to report, and you can choose whichever is convenient for you to file a complaint or report. It is important to know that a timely complaint or report can in many cases help to avoid or reduce the adverse impact on the Company.

If you are unsure whether you have violated the Code of Business Conduct, please contact our colleagues in the Compliance Department who will assist you and keep your information strictly confidential.
Anti-monopoly and Fair Competition

LONGi complies with all the laws and regulations that promote fair and open competition among companies in its commercial activities. Under no circumstances will LONGi participate in activities that result in limited competition.

Why “Anti-monopoly”?  

The Anti-monopoly Law works to guarantee fair competition and maintain competition order in the markets. Violating Anti-monopoly Law will bring severe consequences to the Company and the employees, such as hefty fines, damages, stock price volatility, reputational damage and even criminal liability.

What should we do?  

- Do not enter into anti-competition/monopoly agreements with competitors;
- Do not discuss or negotiate with competitors on matters that may be identified as monopolistic practices, such as price/price composition, market/regional segmentation, and concerted trade boycotts.

*In case of doubt, please refer to LONGi’s Anti-monopoly Compliance Management Measures.
Anti-bribery and Anti-fraud

We abide by anti-bribery/anti-fraud laws and regulations, and company policies. We run our business with honesty. We do not tolerate any form of bribery, or behaviors like providing or accepting valuable property or other economic benefits beyond the scope of social etiquettes by ourselves or by entrusting (instructing) a third party to provide such to interested parties for the purpose of transaction.

Any fraud practice taking advantage of job privileges is not allowed. It is forbidden to seek illegitimate benefits for oneself or for others by means of deceit or cover-up.

LONGi stresses and continuously nurtures the culture of honesty, working together with cooperation partners to create a fair, transparent, clean business environment.

What should we do?

- Excessive gifting or hospitality is a hotbed for corruption. In this regard, we need to keep gifting and hospitality under strict control, limiting such activities within social etiquettes. Such activities include working meals, moderate customer gratitude ceremonies, and presenting of small tokens with the corporate logo displaying corporate culture and connotation.

- We should be committed to LONGi core values, be honest and dedicated to work, stay true and objective, not engage in any illegal or disruptive practices such as abuse of power, embezzlement, nepotism, horizontal competition, or fraud. For instance, do not forge expenditures or provide fake invoices or vouchers to acquire money from the company. Do not collude with third parties to harm the company’s interests through making up deals or exaggerating the value of deals. Managers should not establish companies or make use of companies actually controlled by them to effect self-dealings without declaring the conflict of interest. We should file report or complaint once discovering any bribery or fraud.

“*In case of doubt, please refer to LONGi’s integrity-related rules.*

Trade Compliance and Import and Export Supervision

We comply with laws, regulations, and other local export/import regulatory policies, and earnestly fulfill our responsibilities and obligations related thereto.

By continuously improving employee’s awareness of trade compliance, embedding trade compliance into Company systems and procedures, and updating and adjusting them timely in accordance to updates in external laws and regulations, we can achieve trade compliance management and supervision over all business links.

What should we do?

**Within the company**

- We should abide by the Company’s trade compliance systems and procedures in the business processes.
- We should promote the effective implementation and execution of Company’s trade compliance systems and procedures at the business level.
- We should actively participate in the training of trade compliance-related knowledge and skills organized by the Company.
- We should actively cooperate with and comply with Company’s regular internal review and appraisal.

**Outside the company**

- We should conduct compliance screening on the counter party to the proposed transaction (both before and during the cooperation, such as supplier on-boarding, contract signing, investment and acquisition, receipt and payment). Contact colleagues in the Compliance Department as soon as possible if the subject is found in the sanction list.
- Compliance management of our suppliers.
- We should maintain close contact with government departments, industry associations, and third-party professional institutions to stay informed about the relevant policies and seek professional guidance.
Protect Confidential Information and Personal Information of Stakeholders

We respect and protect the confidential information and personal information of stakeholders, fulfill our confidentiality obligations, and take appropriate measures to prevent improper use of relevant information. The confidential information and personal information shall not be published, reproduced or used in other ways without the consent of the stakeholders.

What is the confidential information and personal information of stakeholders?

In principle, it refers to the business information that stakeholders provide and ask us to keep confidential. Usually, it includes the clearly identified information in the confidential agreements that we sign with stakeholders, and relevant information they provide to us during the negotiation period or expressly requested that we keep such information confidential.

What should we do?

- We should not share any confidential information with any third party outside the project without the consent of the stakeholders.
- We should strictly follow the confidentiality arrangements agreed with the stakeholders and comply with the confidentiality obligations.
- For the confidential information of the stakeholders, undertake the same duty of due management and duty of care as if confidential information of the Company.

Respect the Intellectual Property Rights of Others

We respect the intellectual property rights of others. We will observe all the laws related to the protection of proprietary information and other intellectual property rights in the country where we operate our business.

What should we do?

- During the procurement, we should make clear the ownership of intellectual property rights, the scope of licensed application, and the liability for infringement in the contract, and request suppliers to provide proof of ownership when necessary.
- We use the computer software only in accordance with the relevant licenses and make sure that our usage is in line with the source code requirements of third parties.
- We should investigate and analyze the intellectual property rights status of similar products before we launch a new product in the market.
Employees

Respect the Individual Rights of LONGi Employees and Employees of External Partners

We respect the individual rights of all LONGi employees and employees of external partners, and comply with the labor standards of the countries and local governments where the Company operates. In the process of production activities or provision of services, we resolutely prohibit the employment of child labor and all forms of forced labor. We are determined to provide equal opportunities and treatment for all employees and ensure equal pay for equal work for men and women. We will not interfere with the beliefs of employees and will not discriminate against any employee because of his/her nation, race, nationality, religious belief, gender, age, disability, marital, and birth status. We also respect the right of assembly, the freedom of association, and the right to join labor unions in accordance with the applicable laws.

Protection of Workforce Health & Safety and Environmental Protection

We pay attention to employee safety and occupational health, and strictly follow the environment, occupational health, and safety (EHS) policy of “people-centered health and safety, abiding law, prevention first, clean production, green construction, energy conservation and emission reduction”. We are committed to providing a safe work environment with an emphasis on combating workplace harassment. We provide safety and health training to employees to ensure that employees are properly educated in safety and health, and they act in accordance with all applicable statutory or international standards on labor protection.

What should we do?

- We are committed to respecting the dignity, privacy, and individual rights of our employees in the workplace and to freeing our employees from any forms of discrimination, harassment and abuse.
- We prohibit the use of child labor.
- We do not use or purchase any raw materials for products produced by forced labor, and are committed to ensuring that the products we provide are free of forced labor.
- We are responsible for the health and safety of our employees.
- We provide training and ensure that our employees are educated on health and safety and act in accordance with applicable legal standards and international standards for environmental protection.
- We require our suppliers and their sub-suppliers to comply with local environmental and labor protection laws.

LONGi is a member of the United Nations Global Compact, and the individual rights and labor and environment-related principles of the Global Compact are binding on LONGi (for the Ten Principles of the Global Compact, see “03(VI) Social Responsibilities”.

“LONGi adheres to the people-oriented principle. LONGi strives to inspire employees’ sense of belonging and their personal achievement in LONGi. LONGi cultivates respect among all LONGi people, regardless of their position.”

— Vice President of LONGi Green Energy Technology Co., Ltd. Li Wenxue
Company

LONGi’s Brand Positioning: Trusted, Reliable and Tech Driven

LONGi brand is what customers, stakeholders and the public perceive and evaluate LONGi’s value creation. It is an important part of the Company’s assets, which has a positive impact on all of Company’s businesses. Meanwhile, the continuous improvement of all businesses also adds value to Company’s brand assets.

Protection of Company Property

We are committed to promoting the proper use of LONGi property and restricting personal use of the property so that property could be well used. We prohibit any form of conduct causing harm to the interests of the Company, including but not limited to improper use of tangible or intangible Company assets, use of Company property and funds for personal purposes, etc.

**What should we do?**

- When using the company’s tangible assets, we should be environmentally friendly and economical, not wasting resources, use with care, and only use them for business purposes.
- Intangible assets: protect company information, especially confidential information, trade secrets and intellectual property rights, etc.

**What is company property?**

- Tangible assets: plant facilities, office equipment, office supplies, loaned funds, etc.
- Intangible assets: company-related information, confidential information and trade secrets, intellectual property rights, etc.

**TIPS**

- Provide green energy technology to promote energy transformation and future sustainable development.
- Customer-centered, help customers succeed with steady gains.
- Give back to the community and be a responsible green corporate citizen.
- Leading technology, continuous technological breakthroughs.
- A trusted, reliable cooperation partner.
- Business conducts follow the core values of “Reliability, Value-add, and Fulfillment.”
- Use encryption software to encrypt or transmit files; do not share or inform third parties of the exclusive business accounts and passwords of the company.
Prevent Insider Trading

We prevent trading in securities while in possession of material nonpublic information, including buying and selling securities of other companies by taking advantage of knowledge gained through working at LONGi. We do not use or share material nonpublic information about LONGi or any other company for the purpose of buying or selling securities.

What is insider information?
Insider information refers to the nonpublic information in securities trading activities that relates to the issuer’s operations and finances, or that has a significant impact on the market price of the issuer’s securities. In short, it is nonpublic information about the operations or finances of LONGi or any other listed companies or that has a significant impact on the price of the shares of LONGi or any other listed companies.

What does “material non-public information” mainly include?
- Significant changes in the Company’s business policies and business scope or significant investment activities.
- The signing of important contracts, offering material guarantees or engaging in related transactions that may have a significant impact on the Company’s assets, liabilities, equity, and business performance benefits.
- The occurrence of material debts and debts failing to settle, material losses as they fall due, and the occurrence of material losses.
- Significant changes in the external conditions of the Company’s production and operation or significant changes in internal shareholders, directors, supervisors, and senior executives.
- Significant changes in the Company’s production and operation or significant changes in internal shareholders, directors, supervisors, and senior executives.
- Other important information that might cause stock price volatility.

Avoid Conflict of Interest

We do not engage in personal or other business transactions that may conflict with LONGi’s commercial interests, and comply with LONGi’s relevant requirements regarding affiliate relationships.

What is a conflict of interest?
Generally speaking, a conflict of interest refers to a situation in which the interests of an employee or their affiliates conflict or may conflict with or diverge from the interests of the Company. Examples:
- The employee starts a new business, invests in, or works for a company that competes with LONGi during his/her employment, or the employee had any of the aforementioned behaviors before joining LONGi and did not disclose or report to LONGi when he/she was employed.
- The company or other economic entity to which the employee’s spouse, relatives, or closely related persons belong has business dealings with LONGi.
- The employee’s spouse, relatives, or closely related persons are in the same company as the employee, or even in the same department or in a leader-member relation with the employee.
- The employee conceals the fact that the non-compete agreement between the employee and his/her former employer (a competitor of LONGi) has not been fulfilled before joining LONGi.

What should we do?
- Timely and truthfully declare conflict of interest to LONGi.
- Actively cooperate with LONGi and adjust or recuse yourself from matters that may give rise to conflicts of interest as soon as possible.
Accounting and Taxation Compliance

As a public company, we are committed to providing accurate and truthful financial reports to the public, avoid false or misleading book-keeping, and ensure financial records are maintained in a compliant manner.

Compliant tax-paying according to the law is an important part of LONGi’s fulfillment of social responsibilities and global corporate citizenship, and it is also a corporate obligation, which is of decisive significance to the long-term and sustainable development of LONGi in the countries and regions where we operate. LONGi will not use any “false” means to avoid paying taxes in tax-haven countries/areas.

What should we do?

- Comply with the accounting standards of the country/regions where we conduct business.
- Provide accurate and truthful information for financial reporting.
- Understand and comply with the tax laws of the country/region where businesses are conducted.
- Disclose truthful data to local tax authorities as required by them.

Prohibition of Money Laundering and Terrorist Financing

We need to be constantly aware of the risk of being used for money laundering or terrorist financing in the course of conducting business. We only maintain business relationships with companies that conduct legal operations and have legitimate financial resources.

TIPS

- Money laundering is the act of legalizing illegal proceeds. Specifically, it refers to the act and process of disguising, concealing, or transforming the gains from crimes or other illegal activities and their proceeds by various means to make them legal in form.
- In addition to money, the provision of related materials, such as goods or commodities, may also be considered as terrorist financing.

What should we do?

- Pay particular attention on the use of large cash payments in the course of business.
- Choose a safe and reliable financial institution and cooperate with them to conduct identity recognition on the company or customer in business.
- Cooperate with supervision and management by government agencies for import and export transaction funds.
Protection of Company’s Confidential Information and Intellectual Property

We are fully aware that the confidential information and intellectual property rights of the Company are the cornerstone and foundation of our sustainable development and the source of value creation. We have increased investment in the research and development of intellectual property rights, and we protect the intellectual property rights and confidential information of Company from misuse, misappropriation, destruction, and loss.

What should we do?

- We shall properly manage the Company’s confidential information and do not disclose it or use it for purposes other than for Company’s business, whether in the current employment relationship or after leaving the Company.
- When disclosing confidential information to external persons for business needs, a confidentiality agreement should be signed in advance to prevent leakage.
- We shall actively explore and carry out R&D in our work and shall take corresponding measures related to intellectual property protection in a timely manner once we develop innovations with intellectual property value.
- We shall actively explore and carry out R&D in our work and shall take corresponding measures related to intellectual property protection in a timely manner once we develop innovations with intellectual property value.
- We shall actively explore and carry out R&D in our work and shall take corresponding measures related to intellectual property protection in a timely manner once we develop innovations with intellectual property value.
- We enhance and improve the confidentiality protection system and corresponding confidentiality measures.
- We shall pay attention to avoid intellectual property risks in relevant business activities and identify both parties’ intellectual property rights and obligations and respective confidentiality responsibilities.
- Attention should be paid to the correct use of intellectual property marks such as registered trademarks or patent numbers in our business activities, and we should provide necessary reminders to consumers and relevant market entities.
- We establish and improve a mechanism for monitoring the sales market and monitoring the market situation of similar products through multiple channels. When infringement is found, critical information should be collected and notarized when necessary.
- We actively carry out intellectual property risk investigation and mapping, investigating and analyzing the intellectual property status related to technologies, equipment or products developed or to be introduced, and making a comprehensive assessment of infringement risks.

Personal Information Protection and Privacy Respectation

The Company attaches great importance to the substantial protection of personal information and privacy. Guided by the integrated requirements of rights and obligations, we properly manage personal information obtained inside and outside the Company and treat personal information with legitimacy, propriety, necessity, and integrity as the basic principles.

What is personal information?

Personal information refers to all kinds of information related to identified or identifiable natural persons recorded by electronic or other means, excluding information after anonymization. For example, name, date of birth, ID card number, biometric data, address, telephone number, e-mail address, health information, whereabouts information, etc.

Data Protection and Information Security

We must comply with local laws and regulations on data protection and IT data security when we collect and use relevant information in all our business processes and ensure the security of data and information through appropriate technical means and organizational and management measures.

What should we do?

- Personal information and data are collected and processed in accordance with the law or under the premise of voluntary consent of the relevant parties.
- Personal information protection impact assessment is conducted prior to personal information processing activities that have a significant impact on individuals.
- The company implements security measures to protect personal information and other data information collected in the course of daily operations.
- For those behaviors that may violate the regulations of personal information protection and data security, we will notify the supervisory authorities of them at the earliest opportunity.
Business Partners

We maintain business relationships only with reputable and responsible partners. We value and actively encourage their improvement of employee health and workplace safety as well as their contributions to society. We strictly select our partners by establishing detailed standards of conduct and a partner management system.

- We strictly screen and select high-quality partners by establishing and improving a partner on-boarding system.
- We require our partners to sign and comply with the CSR Code of Conduct of LONGi Suppliers.
- We conduct appropriate compliance and due diligence related to partner export controls, anti-money laundering, labor protection, environment, social responsibility, supply chain traceability, etc.
- We work closely with our partners, committed to achieving mutual sustainable development.

Environmental Responsibility

We obey all the environmental laws, regulations and international treaties and take full consideration of their impacts on nature and ecological environment when organizing corporate activities.

As the first Chinese enterprise to join the RE100, EV100 and EP100, and the Science Based Targets initiative (SBTi) at the same time, LONGi creates green energy for the world while highly valuing its green and sustainable development in production and operation and actively practicing the concept of “Solar for Solar – Zero-carbon Earth”, contributing to the establishment of zero-carbon society.

- RE100
- EV100
- EP100
- SBTi
If you find any behavior suspected of violating the LONGi Code of Business Conduct or if the relevant behavior may create or has created an adverse impact on LONGi, you should contact us in the following ways in a timely manner. We promise to keep your personal information strictly confidential and we will properly handle it to ensure that you are not subject to retaliation consequently. The Compliance Department of the Legal Affairs Center provides compliance consultation, and we will have professional compliance lawyers to answer your questions.

E-mail of the Compliance Department of the Legal Affairs Center for consulting: compliance@longi.com

E-mail of the Discipline Surveillance Department of Audit and Supervision Center for reporting: audit@longi.com

Reporting hotline: 0086-029-84193391/0086-18089282003

This Code is written in both Chinese and English. In case of inconsistency between Chinese and English versions, the Chinese version shall prevail.

If you have any questions or comments about this Code, please contact LONGi at compliance@longi.com.